# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Rights Issue

## I. Data for Every Month Ending

| SN | Received from           | Pending at the<br>end of the last<br>month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0  | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward from previous | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular |
|----|-------------|-------------------------------|-------------------------------------|--|--------------------------------------|
|    |             | year                          |                                     |  | year                                 |
| 1  | 2           | 3                             | 4                                   | 5                                      | 6                                    |
| 1  | 2021        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 2  | 2022        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 3  | 2023        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 4  | 2024        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 5  | 2025        | Nil                           | Nil                                 | NA                                     | NA                                   |
| 6  | Grand Total | 0                             | 0                                   | 0                                      | 0                                    |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category SME IPO and FPO including OFS

### I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Buyback of Securities

### I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Delisting of Equity Shares

## I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward<br>from previous<br>month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|---|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                       | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                       | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                       | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Investment Charter- IPO and FPO (including OFS)

## I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Qualified Institutions Placement (QIP)

## I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward<br>from previous<br>month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|---|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                       | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                       | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                       | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward from previous | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular |
|----|-------------|-------------------------------|-------------------------------------|--|--------------------------------------|
|    |             | year                          |                                     |  | year                                 |
| 1  | 2           | 3                             | 4                                   | 5                                      | 6                                    |
| 1  | 2021        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 2  | 2022        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 3  | 2023        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 4  | 2024        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 5  | 2025        | Nil                           | Nil                                 | NA                                     | NA                                   |
| 6  | Grand Total | 0                             | 0                                   | 0                                      | 0                                    |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Private Placement of Units by InvITs

## I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Preferential Issue

## I. Data for Every Month Ending

| SN | Received from           | Pending at the<br>end of the last<br>month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0  | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Substantial Acquisitions of Shares and Takeovers

#### I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

#### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the<br>particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|--|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                       | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                       | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                       | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                       | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                       | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0  | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward from previous year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|------------------------------------|-------------------------------------|--|---|
| 1  | 2           | 3                                  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0                                  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Public Issue of Debt Securities

### I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

## II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward<br>from previous<br>month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|---|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                       | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                       | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                       | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward from previous | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular |
|----|-------------|-------------------------------|-------------------------------------|--|--------------------------------------|
|    |             | year                          |                                     |  | year                                 |
| 1  | 2           | 3                             | 4                                   | 5                                      | 6                                    |
| 1  | 2021        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 2  | 2022        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 3  | 2023        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 4  | 2024        | Nil                           | Nil                                 | NA                                     | Nil                                  |
| 5  | 2025        | Nil                           | Nil                                 | NA                                     | NA                                   |
| 6  | Grand Total | 0                             | 0                                   | 0                                      | 0                                    |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Private Placement of Municipal Debt Securities

## I. Data for Every Month Ending

| SN | Received from           | Pending at the end of the last month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--------------------------------------|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil                                  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0                                    | 0   | 0  | 0  | 0                                 | 0   |

### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                 | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                 | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                 | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                 | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0                                   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward<br>from previous<br>year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|--|-------------------------------------|--|---|
| 1  | 2           | 3  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                      | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                      | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

# Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended September 2025 for the category Public Offers by InvITs and REITs

## I. Data for Every Month Ending

| SN | Received from           | Pending at the<br>end of the last<br>month | Received<br>during the<br>particular<br>month | Resolved<br>during the<br>particular<br>month* | Total<br>Pendingduring<br>the particular<br>month# | Pending<br>Complaints><br>1 month | Average Resoluti<br>on time^ (in<br>days) |
|----|-------------------------|--|---|--|--|-----------------------------------|---|
| 1  | Directly from Investors | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 2  | SEBI (SCORES 2.0)       | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 3  | Stock Exchanges         | Nil  | Nil   | NA   | NA   | NA                                | NA  |
| 4  | Other Sources (if any)  | Nil  | Nil   | NA   | NA   | NA                                | NA  |
|    | Grand Total             | 0  | 0   | 0  | 0  | 0                                 | 0   |

### II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

| SN | Month           | Carried forward<br>from previous<br>month | Received during the particular month | Resolved during the particular month* | Pending at the end<br>of the particular<br>month# |
|----|-----------------|---|--------------------------------------|---------------------------------------|---|
| 1  | May, 2025       | Nil                                       | Nil                                  | NA                                    | Nil   |
| 2  | June, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 3  | July, 2025      | Nil                                       | Nil                                  | NA                                    | Nil   |
| 4  | August, 2025    | Nil                                       | Nil                                  | NA                                    | Nil   |
| 5  | September, 2025 | Nil                                       | Nil                                  | NA                                    | Nil   |
|    | Grand Total     | 0   | 0                                    | 0                                     | 0   |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year        | Carried forward from previous year | Received during the particular year | Resolved during the<br>particular year | Pending at the end of the particular year |
|----|-------------|------------------------------------|-------------------------------------|--|---|
| 1  | 2           | 3                                  | 4                                   | 5                                      | 6   |
| 1  | 2021        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 2  | 2022        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 3  | 2023        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 4  | 2024        | Nil                                | Nil                                 | NA                                     | Nil                                       |
| 5  | 2025        | Nil                                | Nil                                 | NA                                     | NA  |
| 6  | Grand Total | 0                                  | 0                                   | 0                                      | 0   |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.