

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Rights Issue

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category SME IPO and FPO including OFS

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Buyback of Securities

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Delisting of Equity Shares

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Investment Charter- IPO and FPO (including OFS)

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Qualified Institutions Placement (QIP)

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Private Placement of Units by InvITs

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Preferential Issue

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Substantial Acquisitions of Shares and Takeovers

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Public Issue of Debt Securities

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Private Placement of Municipal Debt Securities

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0

Annexure – B

Investor Complaints of Libord Advisors Pvt. Ltd. for the period ended October 2024 for the category Public Offers by InvITs and REITs

I. Data for Every Month Ending

SN	Received from	Pending at the end of the last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	NA	NA	NA	NA
2	SEBI (SCORE S)	Nil	Nil	NA	NA	NA	NA
3	Stock Exchanges	Nil	Nil	NA	NA	NA	NA
4	Other Sources (if any)	Nil	Nil	NA	NA	NA	NA
	Grand Total	0	0	0	0	0	0

II. Trend of Monthly Disposal of Complaints (For 5 Months on Rolling Basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June, 2024	Nil	Nil	NA	Nil
2	July, 2024	Nil	Nil	NA	Nil
3	August, 2024	Nil	Nil	NA	Nil
4	September, 2024	Nil	Nil	NA	Nil
5	October, 2024	Nil	Nil	NA	Nil
	Grand Total	0	0	0	0

^Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

III. Trend of Annual (Calendar Year) Disposal of Complaints (For 5 Years on Rolling Basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
	2	3	4	5	6
1	2021	Nil	Nil	NA	Nil
2	2022	Nil	Nil	NA	Nil
3	2023	Nil	Nil	NA	Nil
4	2024	Nil	Nil	NA	NA
5	2025	-	-	-	-
6	Grand Total	0	0	0	0